TrustIoT Framework for Industry 4.0

"Development of certification processes for emerging technologies"

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| Document Classification: | Internal |
| Document Ref. | *TrustIoT Framework for Industry 4.0* |
| Version: | *1* |
| Document Author: | *Jibran Saleem* |
| Document Owner: |  |

**Revision History**

|  |  |  |  |
| --- | --- | --- | --- |
| **Version** | **Date** | **Revision Author** | **Summary of Changes** |
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**Distribution**

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**Approval**

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| **Name** | **Position** | **Signature** | **Date** |
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# Introduction

The rapid evolution of the Internet of Things (IoT) ecosystem necessitates the continuous introduction of new technologies and practices. While these innovations offer potential benefits, they also bring inherent security and privacy risks. To mitigate these risks and ensure the secure integration of emerging technologies, a robust certification process is essential. This policy outlines the framework for developing and implementing certification processes for new IoT technologies within the organisation.

# Purpose

The purpose of this policy is to establish a structured and comprehensive approach to evaluating and certifying emerging IoT technologies before their adoption or deployment. This policy aims to:

* Minimise the risk of introducing vulnerabilities or disruptions through the adoption of new IoT technologies.
* Ensure that new technologies align with the organisation's security and privacy standards.
* Establish a process for ongoing monitoring and evaluation of certified technologies.
* Facilitate informed decision-making regarding the adoption of emerging IoT technologies.

# Scope

This policy applies to all new IoT technologies and practices considered for adoption or deployment within the organisation, including but not limited to:

* New IoT devices and sensors
* Communication protocols and platforms
* Data storage and processing solutions
* Cloud services and applications
* Operational procedures and workflows

# Policy Statement

## Certification Framework Establishment

* **Certification Body:** A dedicated committee or team shall be established to oversee the certification process, comprising representatives from IT, security, legal, and relevant business units.
* **Evaluation Criteria:** Clear and comprehensive evaluation criteria shall be defined, encompassing security, privacy, performance, interoperability, and compliance aspects.
* **Certification Levels:** Different certification levels may be established to differentiate between technologies based on their risk profile and criticality.

## Risk Assessment and Evaluation

* **Thorough Assessment:** A comprehensive risk assessment shall be conducted for each new technology, evaluating its potential impact on the organisation's security, privacy, and operations.
* **Threat Modelling:** Potential threats and vulnerabilities associated with the technology shall be identified and analysed.
* **Impact Analysis:** The potential impact of a security breach or compromise involving the technology shall be assessed.

## Security and Privacy Requirements

* **Minimum Standards:** The technology must meet or exceed the organisation's minimum security and privacy standards, including:
  + Data protection and encryption
  + Access control and authentication
  + Secure communication protocols
  + Vulnerability management
* **Privacy Impact Assessment (PIA):** A PIA shall be conducted for technologies that process personal data to ensure compliance with relevant regulations.

## Interoperability and Compatibility

* **Integration Testing:** The technology's compatibility and interoperability with existing systems and infrastructure shall be thoroughly tested.
* **Data Exchange:** The ability to securely and efficiently exchange data with other IoT components shall be evaluated.

## Testing and Validation

* **Security Testing:** Rigorous security testing, including vulnerability scanning, penetration testing, and code review (where applicable), shall be conducted to identify and address potential weaknesses.
* **Performance Testing:** The technology's performance, scalability, and resilience under load shall be evaluated.
* **Functional Testing:** The technology's functionality and adherence to stated requirements shall be verified.

## Vendor Due Diligence

* **Vendor Assessment:** The security posture, track record, and support capabilities of the technology vendor shall be assessed.
* **Security Documentation:** Vendors shall provide adequate security documentation, including vulnerability disclosures, security advisories, and incident response plans.

## Certification Approval and Documentation

* **Formal Request:** A formal request for certification shall be submitted, including detailed information about the technology, its intended use, and the results of the risk assessment and evaluation.
* **Review and Approval:** The certification body shall review the request and evaluation results, making a decision to approve or deny certification.
* **Documentation:** The certification process and decision shall be documented, including any conditions or limitations imposed on the use of the technology.

## Ongoing Monitoring and Review

* **Continuous Monitoring:** Certified technologies shall be subject to ongoing monitoring to ensure their continued compliance with security and privacy standards.
* **Periodic Reviews:** Periodic reviews shall be conducted to reassess the risks and benefits of the technology in light of evolving threats and organisational needs.
* **Revocation:** Certification may be revoked if the technology is found to be non-compliant or poses an unacceptable risk.

# Responsibilities

* **Information Security Officer:** Responsible for overseeing the certification process and ensuring compliance with this policy.
* **Certification Body:** Responsible for evaluating certification requests, conducting risk assessments, and making certification decisions.
* **IT Department:** Responsible for technical evaluations, security testing, and ongoing monitoring.
* **Data Protection Officer (if applicable):** Responsible for conducting privacy impact assessments.
* **Department Heads:** Responsible for submitting certification requests and ensuring that their departments adhere to the requirements of this policy.

# Breaches of Policy

Non-compliance with this policy may result in disciplinary action, up to and including termination of employment or contractual relationships.

# Document Management

This document is valid as of [dd/mm/yyyy].

This document is reviewed periodically and at least annually to ensure compliance with the following prescribed criteria.

* Compliant with the Internet of Things (IoT) Security Framework for Industry 4.0.
* Legislative requirements defined by law, where appropriate.

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[Name 1]

Manager